

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: M. TOKUNAGA et al.

Serial No.: Not Yet Assigned

Filed: Herewith

For: PROCESSES FOR PREPARATION OF FUSED PYRROLES

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

**REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

- X   (1) within three (3) months of the Filing Date or **before the mailing date of the First Office Action** on the merits; or
- (2) within three months of the mailing date of the Written Opposition issued by the: \_\_\_\_\_ Patent Office (dated \_\_\_\_\_); or
- (3) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and the requisite Certification or fee under Rule 1.17(p), namely \$240.00, is included herein; or
- (4) after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and the requisite Certification, petition, and petition fee are included herein.

It is respectfully requested that each of the documents shown on the attached form(s) PTO-1449 be made of record in this application. Copies of these documents (CHECK ONE):

  X   are enclosed herewith; or

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\_\_\_\_\_ have been cited in the parent application, and are thus not being resubmitted herein.

Early examination and allowance of the present application are respectfully solicited.

#### **FEE AUTHORIZATION**

Should any fees associated with the submission be required, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 04-1105. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

Dianne Rees

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FORM PTO-1449			DOCKET NO.: 570948(71526)		SERIAL NO.: Not Yet Assigned		
INFORMATION DISCLOSURE STATEMENT			APPLICANT(S): M. TOKUNAGA et al.				
			FILING DATE: Herewith		GROUP NO.:		
UNITED STATES PATENT DOCUMENTS							
EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	AA	7-238069	09/12/95	Japan			No
	AB	5-286932	11/2/93	Japan			No
	AC	62-93273	04/28/87	Japan			No
	AD	2000-136182	05/16/00	Japan			No
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
	AE	M. Fagnola et al., <i>Tetrahedron Letters</i> , 13:2307-2310 (1997).					
	AF	J. Mahanty et al., <i>Tetrahedron</i> , 39:13397-13418 (1997).					
Examiner:					Date:		